

## TIMOTHY A. STRATTON

10481 E. Windrose Drive, Scottsdale, AZ 85259 • 602-257-7465 • [timothystratton@yahoo.com](mailto:timothystratton@yahoo.com)

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### PROFESSIONAL EXPERIENCE

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#### **Gust Rosenfeld PLC**, Phoenix, Arizona

*Equity Partner*, July 2010 – present

- Represent cities, towns, counties and other state and public entities in higher education law, municipal law matters, zoning and economic development, public-private partnerships and intergovernmental cooperation, public finance and tax related issues.
- Maintain active practice as primary Section 103, bond, underwriter, disclosure and special tax counsel, and advise issuers and investment bankers on tax and securities law issues. Primary Section 103 tax counsel for the firm.
- Represent major national and regional banks as bank counsel in municipal direct placement lending and compliance with Municipal Advisor Rules.
- Significant representation of public and private entities as borrowers counsel in industrial revenue bond and note financings of manufacturing facilities, exempt facilities and other project and working capital financings.
- Draft legislation on behalf of public entity clients and associations; work with clients to achieve legislative priorities and provide expert advice on legislation before state and local bodies; work with third party lobbyists to achieve outcomes.
- Provide general counsel services to universities and colleges involving donor issues, unrelated business income tax matters, tax controversy and audit defense; advise and counsel on business operations, real estate and development, financing and other operational matters including Title IX issues, sponsored research agreements and P-3's. Assist in employee and other internal investigations before state entities.
- Assist public higher education clients on state procurement process and bid issues.
- Provide counsel to public clients on working capital, private use and post issuance tax and securities law matters.
- General Counsel to the Arizona State University Athletic Facilities District.
- Negotiation of Intergovernmental Agreements and General Counsel to government entities.
- Advise underwriters and issuers on Continuing Disclosure Matters under Rule 15c2-12.
- Represent clients with respect to IRS and SEC Audit and Enforcement Matters.
- Representation of municipal clients on major economic development and redevelopment projects.
- Represent 501(c)(3) entities in qualified 501(c)(3) bond issuances as bond and special tax counsel.
- Negotiate development agreements between major league sports franchises and public entities.
- Significant experience on behalf of cities in negotiating and creating special assessment districts, tax increment finance districts and other incentive based development and financing tools, including the use of development impact fees, payments in lieu of taxes and other sophisticated financing mechanisms.
- Best Lawyers-Municipal Law (2020,2021)
- Martindale-Hubble AV Rating; Client Champion Award (Highest Rating)

#### **Superior Court of Arizona, County of Maricopa**, Phoenix, Arizona

*Judge Pro Tempore*, July 1, 2017-January 2021

- Appointed as a Judge Pro Tempore (Part-Time Judge) to the Superior Court of Maricopa County, Arizona.
- Part-time judicial officer presiding over initial appearances, motion practice, trials and settlement conferences.
- Assist the Court with other duties as required and appointed; presided over 200 hearings as a Trial Judge.

#### **Stratton Radio Broadcast Company, LLC**, Phoenix, Arizona and Rochester, New York

*President and Chairman*, December 2017-present.

- President and Chairman of family-owned media company.
- Engaged in the operation of two small market radio stations (WYLF Familiar Favorites and WOKR Classic Country).
- Stations located in Finger Lakes Region of Upstate New York (Penn Yan and Canandaigua, New York).
- Engaged in all aspects of station management and operations.

**Ice Miller LLP, Chicago, Illinois**

*Senior Counsel, May 2007 - July 2010*

- Counseled colleges and universities, local governments, school districts and investment banking clients in all matters related to public finance.
- Maintained active practice as bond, underwriter's and disclosure counsel.
- Successfully closed hundreds of Illinois municipal bond transactions.
- Provided legislative assistance to wide array of public clients including cities, counties and other special districts.
- Managed Section 103 tax work related to tax-exempt bonds and taxable Build America Bonds and Recovery Zone Bonds.
- Served as special counsel to Illinois Counties in establishing Recovery Zones and Recovery Zone Bond Programs.
- Successfully worked with intergovernmental agencies and public entities to craft intergovernmental agreements, development agreements and economic development incentives and programs.
- Served as general counsel to universities and colleges and counseled clients on matters such as donor issues, unrelated business income tax matters, and tax controversy and audit defense. Work with university and college foundations on foundation tax and management issues.
- Successfully represented Illinois municipalities in TIF Bond financings, Special Service Areas and development projects across the state.

**Hutchinson, Shockey, Erley & Co., Chicago, Illinois**

*Vice President, May 2006 -- May 2007*

- As investment banker, worked with school districts on numerous school district financings.
- Passed Series 7 and Series 63 securities exams.

**Chapman and Cutler LLP, Chicago, Illinois.**

*Associate, October 2002 -- May 2006*

- Engaged in the counsel of governmental entities, underwriters and investment bankers on securities and tax matters related to the issuance of municipal bonds and other types of governmental borrowings.
- Responsible for the preparation and review of municipal ordinances, resolutions, and other documentation related to bond transactions and other municipal matters.
- Worked with both underwriters and issuers in structuring, pricing and closing of financings.
- Drafted Preliminary and Final Official Statements, Bond Purchase Agreements and other disclosure documents related to municipal financings.
- Drafted legislation and reviewed proposed statutory amendments to the Illinois Compiled Statutes on finance related matters.

**Zukowski, Rogers, Floor & McArdle, Crystal Lake, Illinois**

*Associate, June 2001 -- October 2002*

- Practiced in the areas of general municipal government, land use and zoning law.
- Gained experience in governance issues, including utility franchise agreements, development agreements, open meetings law, parliamentary procedure and freedom of information act issues.
- Served as primary legal Counsel for the Crystal Lake Park District (November 1999-September 2001).
- As Assistant Legal Counsel for the Village of Lake in the Hills, assisted in hearings for zoning board and plan commission, and handled work related to the comprehensive revision of the Village Zoning Ordinance; advised Village on matters relating to the operation and expansion of the Chicago-Lake in the Hills Airport; prepared and counseled client on development related issues, including developer agreements, home rule powers and economic development.
- Attended Circuit Court calls and conducted motion practice for municipal clients.
- Represented clients in Commercial Litigation and Controversies.

**Franz & Kerrick**, Crystal Lake, Illinois

*Law Clerk and Associate Attorney*, May 1999 – July 2001

- Assisted small business owners and individuals in business planning, corporate formation, and general business issues.
- Attended and conducted depositions and discovery review for clients.
- Represented clients in the Illinois Circuit Courts. Prepared complaints and legal briefs in condemnation actions and other commercial litigation matters.
- Attended motion calls and pre-trial settlement conferences.

**United States District Court for the Western District of Michigan**, Lansing, Michigan

*Judicial Extern for the Honorable David W. McKeague*, Fall 1998 -- 1999

## **PUBLICATIONS AND PRESENTATIONS**

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- Chair of the Securities Law Hot Topics Panel; National Association of Bond Lawyers, Tax and Securities Law Institute, Washington, D.C. (2017);
- Balancing the Establishment Clause and the Free Exercise Clause, the Challenges Facing Public Entities in the Financing and Support of Religious Activities and Institutions and a New Constitutional Perspective, Illinois Municipal League Review Magazine, Feature Article, September, 2017;
- Chair of the Ethics and Professional Responsibility Panel; National Association of Bond Lawyer, Bond Attorney Workshop, Chicago, Illinois (2015, 2016, 2017);
- Co-Editor, Federal Securities Law of Municipal Bonds Deskbook, Seventh Edition, Matthew Bender & Company, Inc. (est 2017);
- Contributor, Federal Securities Laws of Municipal Bonds Deskbook, Sixth Edition, Matthew Bender & Company, Inc.(2014);`
- Community Building Through Referendum, presentation to the Illinois Association of Park District's Annual Conference (January, 2010);
- Recovery Zone Bonds, presentation to the Illinois Association of School Business Officials (January, 2010);
- ARRA Borrowing; National Council of School Attorneys Conference (October, 2009, Seattle, WA);
- Need Funding?, a joint presentation with the Illinois Finance Authority to the Township Officials of Illinois, November 15, 2005, Peoria, Illinois;
- Short Term Borrowing Alternatives for School Districts, presentation to the Illinois Association of School Administrators. (April, 2005, Springfield, Illinois);
- Debt Options for Illinois School Districts, presentation to the Illinois Association of School Boards Tri Conference (November, 2004);
- Short Term Cash Flow Borrowing, presentation to the Illinois Association of School Business Officials, (February 2004, Springfield, Illinois);
- Borrowing Alternatives for Illinois Community Colleges, presentation to the Illinois Community College Trustees Association, (November, 2003, Chicago, Illinois);
- Steps to Head off Financial Disaster for Illinois School Districts, presentation to the Illinois Association of School Boards Tri Conference, (November, 2003, Chicago, Illinois);

- New Business on the Board Agenda? Consideration vs. Action, Illinois Parks and Recreation Magazine (May/June 2002);
- Enforcement of Cable Television Customer Service Standards by Local Municipalities, Illinois Municipal Review Magazine (February 2002);
- Community Colleges and the Bidding Process, Illinois Community College Trustee Association (November 2001);
- The Constitution and Public Property, Regulating Access and Use of Public Parks and Other Open Spaces, Illinois Municipal Review Magazine (May 2002).
- Local Enforcement of Cable Television Customer Service Standards, Thompson Publishing Group, (January, 2003).

## PROFESSIONAL ASSOCIATIONS AND LEADERSHIP ROLES

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- Supreme Court of Arizona—Committee on Examinations, Dec 2017-present
  - Member of Committee of Examinations (Bar Examiners) which oversees and administers the Arizona Bar Exam.
  - Appointed by Chief Justice Scott Bales on the recommendation of the State Bar of Arizona.
- Arizona Board for Charter Schools—Board Member, March, 2021-present
  - Appointed by Governor Doug Ducey to the State Charter School Board and confirmed by the Arizona Senate.
  - The Board oversees the state charter school system and works with other policy makers on education policy in Arizona.
- National Association of College and University Business Officials (“NACUBO”), 2007-present
- Illinois State Bar Association, July 1999 – present
  - Councilmember to the Local Government Law Section, 2005-2007; Standing Committee on Attorney Registration and Discipline, June 2001 -- June 2002.
- Illinois Community College Trustee’s Association
  - Involved with legislative activity and lobbying efforts for the advancement of Illinois Community Colleges.
  - Illinois Municipal League (“IML”).
  - Moderated discussion on municipal cable television franchise agreements and other related issues at IML Annual Conference, September 2002; Authored numerous articles on legal issues impacting Illinois municipalities for the IML Magazine).
  - Illinois Association of Park Districts.
  - Served a three year term on the state park district association Legislative Committee where I counseled the committee on public finance and bonding legislation.
- City of Scottsdale Industrial Development Authority
  - Appointed by City Council to six-year term to the Board of Directors of the Industrial Development Authority, November, 2014-present
  - Treasurer, Scottsdale IDA, 2016-2018
  - Vice President, Scottsdale IDA, 2019-present

- City of Scottsdale, Arizona
  - Chairman and Member of the Board of Adjustment (Zoning Board), January 2012-November, 2014; Appointed to second term, March, 2021.
- Illinois General Assembly
  - 2008 nominee for State Representative -- received general election endorsement from Chicago Tribune and Lake County News Sun.
- Glencoe Park District, Glencoe, Illinois
  - Elected Park District Commissioner (2007-2010)
  - Vice President of Park Board.
  - Served two years as District Treasurer of the Park District.
- Village of Glencoe, Glencoe, Illinois
  - Zoning Commissioner, Glencoe Zoning Board of Appeals, June 2005 - April 2009.
  - Member of the Glencoe Human Relations Forum, January 2004 - June 2005.
  - Served as a member of a special residents' panel of the Glencoe Plan Commission reviewing the downtown comprehensive plan, January 2004.
- McHenry County College District No. 526, Counties of McHenry, Lake, Kane and Boone and State of Illinois, Crystal Lake, Illinois, April 2001 - May 2004
  - Elected Trustee of the College Board of Trustees at the April, 2001 Illinois Consolidated Election.
  - Vice Chairman of the Board of Trustees (November 2002-August 2003).
  - McHenry County College Presidential Search Committee (Board Representative)
  - Chairman of the Policy Committee.

## BAR MEMBERSHIPS

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Illinois

Arizona

United States District Court, Northern District of Illinois

United States District Court of Arizona

United States Court of Appeals, 9<sup>th</sup> Circuit

United States Tax Court

## EDUCATION

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**Western Michigan University Cooley Law School**, Lansing, Michigan

*Juris Doctorate, cum laude*, May, 1999.

- Top 16% of class.
- Senior Editorial Staff Member, *Thomas M. Cooley Journal of Clinical and Practical Law*.
- Awarded Certificates of Merit for Highest Grade in Class: (1) Torts; (2) Property Law; and (3) East-West Trade Law.
- Served as a Student Magistrate on the Grade Appeals Board.
- Teaching Assistant Torts I and II classes.

**The University of San Diego Law School**, San Diego, California, 1997-1998

Summer programs in Moscow, Russia; Barcelona, Spain and London, England.

**Ball State University**, Muncie, Indiana

Bachelor of Science, History and Political Science, May 1996

- Member, Ball State Student Government
- Member, University Model United Nations Team
- Elected Member of University Senate
- Awarded Graduate of the Last Decade Award from the Alumni Association for accomplishments since graduation, June 2005
- Serve on Dean's Executive Advisory Council (DEAC), 2010-present

## **PERSONAL INTERESTS**

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Flying airplanes, hiking and camping, following current events, travel, studying history and reading presidential biographies.

## **REFERENCES**

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References available upon request.